



DEPARTMENT OF LABOR

Employment and Training Administration

Notice on Reallotment of Workforce Investment Act (WIA) Title I Formula Allotted Funds for Dislocated Worker Activities for Program Year (PY) 2011

AGENCY: Employment and Training Administration (ETA), Labor.

ACTION: Notice.

SUMMARY: Public Law 105-220, the Workforce Investment Act of 1998, requires the Secretary of Labor (Secretary) to conduct reallotment of dislocated worker formula allotted funds based on State financial reports submitted as of the end of the prior program year. This notice publishes the dislocated worker PY 2011 funds for recapture by State and the amount to be reallotted to eligible States.

DATE: This notice is effective [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER].

FOR FURTHER INFORMATION CONTACT: Ms. Amanda Ahlstrand, Acting Administrator, Office of Workforce Investment, Employment and Training Administration, U.S. Department of Labor, 200 Constitution Avenue, NW., Room C-4526, Washington, DC 20210. Telephone (202) 693-3980 (this is not a toll-free number) or fax (202) 693-3981.

SUPPLEMENTARY INFORMATION:

WIA Section 132(c) requires the Secretary to conduct reallotment of dislocated worker funds based on financial reports submitted by States as of the end of the prior program year. The procedures the Secretary uses for recapture and reallotment of funds are described in the

WIA regulation at 20 CFR 667.150. Training and Employment Guidance Letter No. 26-10 advised States that reallocation of funds under WIA will occur during PY 2011 based on State obligations made in PY 2010. We will not recapture any PY 2011 funds for Adult and Youth programs because in no case do PY 2010 unobligated funds exceed the statutory requirement of 20 percent of State allotted funds. There was recapture and reallocation of WIA Dislocated Worker funds in PY 2010.

Excess unobligated State funds in the amount of \$251,529 will be captured from PY 2011 formula allotted funds for the dislocated worker program for one State and distributed by formula to PY 2011 dislocated worker funds for eligible States. The description of the methodology used for the calculation of the recapture/reallocation amounts and the distribution of the changes to PY 2011 formula allotments for dislocated worker activities are included in this notice (see Section III below).

WIA Section 132 (c) requires the governor to prescribe equitable procedures for making funds available from the State and local areas in the event that the State is required to make funds available for reallocation.

I. Attachment A

U.S. DEPARTMENT OF LABOR Employment and Training Administration WIA Dislocated Worker Activities PY 2011 Reallotment to States

	Excess Unobligated PY 2010 Funds for Recapture in PY 2011	PY 2010 * Dislocated Worker Allotments for Eligible States	PY 2011 Reallotment Amount for Eligible States	Total PY 2011 Allotments	Total Adjustment to PY 2011 (Recapture/ Reallotment)	Revised Total PY 2011 Allotments
Alabama	0	17,648,171	3,770	16,103,978	3,770	16,107,748
Alaska	0	2,185,129	467	1,801,832	467	1,802,299
Arizona **	0	22,761,022	4,862	21,958,487	4,862	21,963,349
Arkansas	0	6,859,643	1,465	6,525,077	1,465	6,526,542
California	0	192,209,289	41,056	170,043,518	41,056	170,084,574
Colorado	0	14,493,167	3,096	13,947,918	3,096	13,951,014
Connecticut	0	11,838,447	2,529	12,099,340	2,529	12,101,869
Delaware	0	2,775,581	593	2,523,025	593	2,523,618
District of Columbia	0	2,987,462	638	2,588,817	638	2,589,455
Florida	0	82,926,540	17,713	81,146,334	17,713	81,164,047
Georgia	0	40,868,318	8,730	35,448,102	8,730	35,456,832
Hawaii	0	3,264,115	697	2,535,324	697	2,536,021
Idaho	0	4,531,232	968	4,234,037	968	4,235,005
Illinois	0	54,617,380	11,666	52,311,422	11,666	52,323,088
Indiana	0	27,227,011	5,816	22,936,088	5,816	22,941,904
Iowa	0	5,881,598	1,256	6,212,899	1,256	6,214,155
Kansas	0	6,847,260	1,463	5,771,477	1,463	5,772,940
Kentucky	0	18,069,138	3,860	14,962,447	3,860	14,966,307
Louisiana	0	9,801,581	2,094	8,755,097	2,094	8,757,191
Maine	251,529	0	0	3,593,738	(251,529)	3,342,209
Maryland	0	15,524,552	3,316	14,280,338	3,316	14,283,654
Massachusetts	0	22,681,107	4,845	21,033,198	4,845	21,038,043
Michigan	0	64,477,068	13,773	51,206,873	13,773	51,220,646
Minnesota	0	18,001,919	3,845	12,869,603	3,845	12,873,448
Mississippi	0	9,857,567	2,106	10,134,604	2,106	10,136,710
Missouri	0	22,199,883	4,742	19,157,714	4,742	19,162,456
Montana	0	2,172,390	464	2,044,172	464	2,044,636
Nebraska	0	2,425,657	518	2,056,541	518	2,057,059
Nevada	0	14,109,081	3,014	14,310,158	3,014	14,313,172
New Hampshire	0	3,178,188	679	2,760,460	679	2,761,139
New Jersey	0	33,036,397	7,057	32,201,066	7,057	32,208,123
New Mexico **	0	4,088,279	873	5,171,897	873	5,172,770
New York	0	65,461,775	13,983	55,804,488	13,983	55,818,471
North Carolina	0	43,990,709	9,397	35,042,869	9,397	35,052,266
North Dakota	0	689,396	147	499,156	147	499,303
Ohio	0	51,555,231	11,012	44,012,508	11,012	44,023,520
Oklahoma	0	6,897,559	1,473	6,906,804	1,473	6,908,277
Oregon	0	20,144,221	4,303	15,054,272	4,303	15,058,575
Pennsylvania	0	39,519,031	8,441	37,914,512	8,441	37,922,953
Puerto Rico	0	17,040,157	3,640	13,675,088	3,640	13,678,728
Rhode Island	0	6,090,021	1,301	5,096,307	1,301	5,097,608
South Carolina	0	23,064,788	4,927	19,157,131	4,927	19,162,058
South Dakota	0	999,261	213	839,629	213	839,842
Tennessee	0	26,900,645	5,746	22,094,179	5,746	22,099,925
Texas	0	61,307,760	13,096	61,926,140	13,096	61,939,236

Utah **	0	4,620,458	987		6,053,827	987	6,054,814
Vermont	0	1,785,950	381		1,242,041	381	1,242,422
Virginia	0	18,450,205	3,941		18,453,304	3,941	18,457,245
Washington	0	24,243,473	5,178		22,238,858	5,178	22,244,036
West Virginia	0	4,545,822	971		4,552,003	971	4,552,974
Wisconsin	0	19,910,847	4,253		17,319,011	4,253	17,323,264
Wyoming	0	785,065	168		1,199,212	168	1,199,380
STATE TOTAL	\$251,529	\$1,177,546,546	\$251,529		\$1,061,806,920	\$0	\$1,061,806,920

* Including rescissions based on the statutory formula and prior year recapture/reallotment amounts

** Includes Navajo Nation

II. Attachment B

**U.S. DEPARTMENT OF LABOR
Employment and Training Administration
WIA Dislocated Worker Activities
PY 2011 Revised Allotments with Reallotment**

	Total			Available 7/1/11			Available 10/1/11		
	Original	Recapt/ Reallot.	Revised	Original	R/R	Revised	Original	Recapt/ Reallot	Revised
AL	16,103,978	3,770	16,107,748	3,085,372	-	3,085,372	13,018,606	3,770	13,022,376
AK	1,801,832	467	1,802,299	345,214	-	345,214	1,456,618	467	1,457,085
AZ	21,958,487	4,862	21,963,349	4,207,042	-	4,207,042	17,751,445	4,862	17,756,307
AR*	6,525,077	1,465	6,526,542	1,250,144	-	1,250,144	5,274,933	1,465	5,276,398
CA	170,043,518	41,056	170,084,574	32,578,755	-	32,578,755	137,464,763	41,056	137,505,819
CO	13,947,918	3,096	13,951,014	2,672,291	-	2,672,291	11,275,627	3,096	11,278,723
CT	12,099,340	2,529	12,101,869	2,318,121	-	2,318,121	9,781,219	2,529	9,783,748
DE	2,523,025	593	2,523,618	438,388	-	483,388	2,039,637	593	2,040,230
DC	2,588,817	638	2,589,455	495,993	-	495,993	2,092,824	638	2,093,462
FL	81,146,334	17,713	81,164,047	15,546,882	-	15,546,882	65,599,452	17,713	65,617,165
GA	35,448,102	8,730	35,456,832	6,791,526	-	6,791,526	28,656,576	8,730	28,665,306
HI	2,535,324	697	2,536,021	485,744	-	485,744	2,049,580	697	2,050,277
ID	4,234,037	968	4,235,005	811,202	-	811,202	3,422,835	968	3,423,803
IL	52,311,422	11,666	52,323,088	10,022,382	-	10,022,382	42,289,040	11,666	42,300,706
IN	22,936,088	5,816	22,941,904	4,394,341	-	4,394,341	18,541,747	5,816	18,547,563
IA	6,212,899	1,256	6,214,155	1,190,334	-	1,190,334	5,022,565	1,256	5,023,821
KS	5,771,477	1,463	5,772,940	1,105,761	-	1,105,761	4,665,716	1,463	4,667,179
KY	14,962,447	3,860	14,966,307	2,866,666	-	2,866,666	12,095,781	3,860	12,099,641
LA	8,755,097	2,094	8,757,191	1,677,395	-	1,677,395	7,077,702	2,094	7,079,796
ME	3,593,738	(251,529)	3,342,209	688,527	-	688,527	2,905,211	(251,529)	2,653,682
MD	14,280,338	3,316	14,283,654	2,735,980	-	2,735,980	11,544,358	3,316	11,547,674
MA	21,033,198	4,845	21,038,043	4,029,765	-	4,029,765	17,003,433	4,845	17,008,278
MI	51,206,873	13,773	51,220,646	9,810,760	-	9,810,760	41,396,113	13,773	41,409,886
MN	12,869,603	3,845	12,873,448	2,465,696	-	2,465,696	10,403,907	3,845	10,407,752
MS	10,134,604	2,106	10,136,710	1,941,696	-	1,941,696	8,192,908	2,106	8,195,014
MO	19,157,714	4,742	19,162,456	3,670,440	-	3,670,440	15,487,274	4,742	15,492,016
MT	2,044,172	464	2,044,636	391,644	-	391,644	1,652,528	464	1,652,992
NE	2,056,541	518	2,057,059	394,014	-	394,014	1,662,527	518	1,663,045
NV	14,310,158	3,014	14,313,172	2,741,693	-	2,741,693	11,568,465	3,014	11,571,479
NH	2,760,460	679	2,761,139	528,879	-	528,879	2,231,581	679	2,232,260
NJ	32,201,066	7,057	32,208,123	6,169,425	-	6,169,425	26,031,641	7,057	26,038,698
NM	5,171,897	873	5,172,770	990,887	-	990,887	4,181,010	873	4,181,883
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NY	55,804,488	13,983	55,818,471	10,691,620	-	10,691,620	45,112,868	13,983	45,126,851
NC	35,042,869	9,397	35,052,266	6,713,887	-	6,713,887	28,328,982	9,397	28,338,379
ND	499,156	147	499,303	95,634	-	95,634	403,522	147	403,669
OH	44,012,508	11,012	44,023,520	8,432,387	-	8,432,387	35,580,121	11,012	35,591,133
OK	6,906,804	1,473	6,908,277	1,323,279	-	1,323,279	5,583,525	1,473	5,584,998
OR	15,054,272	4,303	15,058,575	2,884,258	-	2,884,258	12,170,014	4,303	12,174,317
PA	37,914,512	8,441	37,922,953	7,264,068	-	7,264,068	30,650,444	8,441	30,658,885
PR	13,675,088	3,640	13,678,728	2,620,020	-	2,620,020	11,055,068	3,640	11,058,708
RI	5,096,307	1,301	5,097,608	976,405	-	976,405	4,119,902	1,301	4,121,203
SC	19,157,131	4,927	19,162,058	3,670,328	-	3,670,328	15,486,803	4,927	15,491,730
SD	839,629	213	839,842	160,865	-	160,865	678,764	213	678,977
TN	22,094,179	5,746	22,099,925	4,233,039	-	4,233,039	17,861,140	5,746	17,866,886
TX	61,926,140	13,096	61,939,236	11,864,472	-	11,864,472	50,061,668	13,096	50,074,764
UT*	6,053,827	987	6,054,814	1,159,857	-	1,159,857	4,893,970	987	4,894,957
VT	1,242,041	381	1,242,422	237,963	-	237,963	1,004,078	381	1,004,459

VA	18,453,304	3,941	18,457,245	3,535,481	-	3,535,481	14,917,823	3,941	14,921,764
WA	22,238,858	5,178	22,244,036	4,260,758	-	4,260,758	17,978,100	5,178	17,983,278
WV	4,552,003	971	4,552,974	872,121	-	872,121	3,679,882	971	3,680,853
WI	17,319,011	4,253	17,323,264	3,318,161	-	3,318,161	14,000,850	4,253	14,005,103
WY	1,199,212	168	1,199,380	229,758	-	229,758	969,454	168	969,622
Totl	1,061,806,920	(0)	1,061,806,920	203,432,320	-	203,432,320	858,374,600	(0)	858,374,600

- Includes funds allocated to the Navajo Nation

III. Attachment C

Dislocated Worker State Formula PY 2011 Reallotment Methodology

Reallotment Summary: This year ETA analyzed State WIA Dislocated Worker 9130 financial reports from the June 30, 2011 reporting period for PY 2010 to determine if any State had unobligated funds in excess of 20 percent of their PY 2010 allotment amount. If so, that amount will be recaptured from PY 2011 funds and reallocated among eligible states.

- Source Data: State WIA 9130 financial status reports
- Programs: State Dislocated Worker
State Rapid Response
Local Dislocated Worker (includes local administration)
- Period: June 30, 2011
- Years covered: PY 2010 and Fiscal Year (FY) 2011

Reallotment Calculations:

- (1) Each State's total amount of State obligations of PY 2010 (including FY 2011) funds for the Dislocated Worker program is calculated. State obligations are considered to be the total of the Dislocated Worker statewide activities obligations, Rapid Response obligations, and 100 percent of local Dislocated Worker program authorized (which includes local admin authorized). The Dislocated Worker total unobligated balance is calculated to be the Dislocated Worker 2010 allotment amount (adjusted for recapture/reallotment and statutory formula-based rescissions) less the calculated total Dislocated Worker obligations. (For re-allotment purposes, Dislocated Worker allotted funds transferred to the Navajo Nation are added back to Arizona, New Mexico, and Utah Local Dislocated Worker authorized amounts).

- (2) Section 667.150 of the regulations provides that the recapture calculations exclude the reserve for State administration. Data on State administrative authorized and obligated amounts are not normally available on WIA 9130 financial reports. Therefore, additional data on State administrative amounts included in the PY 2010 and FY 2011 statewide activities amounts authorized and obligated as of June 30, 2011 are requested from those States calculated to be potentially liable for recapture.
- (3) In the preliminary calculation to determine States potentially liable for recapture, the Dislocated Worker portion of the state administrative amounts authorized and obligated (100 percent of authorized is treated as obligated) is estimated by calculating the five percent maximum amount for State Dislocated Worker administrative costs using the Dislocated Worker state allotment amounts (adjusted for recapture/reallotment and statutory formula-based rescissions). If a State provides actual State Dislocated Worker administrative costs authorized and obligated in the comments section of revised 9130 reports, this data replaces the estimates. Based on the requested additional actual data submitted by potentially liable States on revised reports, the Dislocated Worker total allotment for these States is reduced by the Dislocated Worker portion of the State administrative amount authorized and the Dislocated Worker total obligations for these States are reduced by the Dislocated Worker portion of the State administrative amounts obligated. These calculations are done separately for PY 2010 and FY 2011, with final calculations being added together for the total year amounts.
- (4) States (including those adjusted by State administrative data) with unobligated balances for combined PY 2010/FY 2011 exceeding 20 percent of the combined

PY 2010/FY 2011 Dislocated Worker allotment (adjusted for recapture/reallotment and statutory formula-based rescissions) will have their PY 2011 Dislocated Worker funding (FY 2012 portion) reduced (recaptured) by the amount of the excess.

- (5) As calculated above, states with unobligated balances not exceeding 20 percent will receive in their PY 2011 Dislocated Worker funding (FY 2012 portion) a share of the total recaptured amount based on their share of the total PY 2010/FY 2011 Dislocated Worker allotments amount (adjusted for recapture/reallotment, financial sanctions, and statutory formula based rescissions) for all such States.

Signed at Washington, D.C. this 19th day of April, 2012.

Jane Oates

Assistant Secretary for Employment and Training

Billing Code 4510-30

[FR Doc. 2012-10217 Filed 04/26/2012 at 8:45 am; Publication Date: 04/27/2012]